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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In re Application of:</b>	Michael Austin and Barry O'Brien
<b>Application No.:</b>	09/683249
<b>Filed:</b>	December 5, 2001
<b>For:</b>	Combined Balloon-Expanding and Self-Expanding Stent
<b>Examiner:</b>	Michael Thaler
<b>Group Art Unit:</b>	3731

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Docket No.: S63.2B-10014-US01**

**ASSIGNEE'S STATEMENT OF OWNERSHIP 37 CFR 3.73(B)**

SciMed Life Systems, Inc., a corporation is the assignee of the entire right, title and interest in the patent application identified above by virtue of:

- A. ☒ An assignment from the second named inventor(s) of the patent application identified above. A photocopy of the Assignment is enclosed. The original Assignment is being faxed to Assignment Branch for recording.

AND

- B. ☒ A chain of title from the first named inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From : Michael John Stephen Austin  
To: SciMed Life Systems, Inc.  
The document was recorded in the Patent and Trademark Office at Reel 0122216, Frames 0543-0544, or for which a copy thereof is attached.
2. From : \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

*Application No. 09/683,249*  
*Page 2*

*Assignee's Statement of Ownership*  
*Attorney Docket No. S63.2-10014-US01*

The undersigned is empowered to sign this statement of ownership  
certificate on behalf of the assignee.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: September 20, 2004

By: Brendan C. Babcock  
Brendan C. Babcock  
Registration No.: 50705

6109 Blue Circle Drive, Suite 2000  
Minnetonka, MN 55343-9185  
Telephone: (952) 563-3000  
Facsimile: (952) 563-3001  
f:\wpwork\lcb\10014us01\_sta\_20040920.doc

DECEMBER 06, 2001

VIDAS, ARRETT & STEINKRAUS  
6109 BLUE CIRCLE DRIVE  
SUITE 2000  
MINNETONKA, MN 55343-9185



563.2-10014

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/05/2001

REEL/FRAME: 012216/0543  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

AUSTIN, MICHAEL JOHN STEPHEN

DOC DATE: 11/15/2001

## ASSIGNEE:

SCIMED LIFE SYSTEMS, INC.  
ONE SCIMED PLACE  
MAPLE GROVE, MINNESOTA 55311

SERIAL NUMBER: 09683249  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

MARGARET LASALLE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Electronic Version 1.1.0

12/05/2001  
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Stylesheet Version: 1.1.0

**PATENT ASSIGNMENT**

SUBMISSION TYPE: NEW ASSIGNMENT

**APPLICATION NUMBER**

09/683249

NATURE OF CONVEYANCE: ASSIGNMENT OF ASSIGNOR'S INTEREST

**CONVEYING PARTY DATA**Name: Austin, Michael John StephenExecution Date: 11/15/2001**RECEIVING PARTY DATA**Name: SCIMED LIFE SYSTEMS, INC.Street Address: One SciMed Place

Internal Address:

City: Maple GroveState: MINNESOTA

Country:

Postal Code: 55311

The USPTO, Office of Public Records, will send correspondence  
via U.S. Postal Service

When the customer number has been provided, the Office of Public Records  
will obtain the correspondence data from the official record on file at the USPTO.

CUSTOMER NUMBER: 000490

NAME OF PERSON SIGNING: Jonathan Grad

DATE SIGNED: 12/03/2001

Total Attachments: 1

source="10014-asg.tif"

UTILITY/DESIGN PATENT  
Docket No. S63.2-10014

## ASSIGNMENT

WHEREAS, I(we), Michael John Stephen Austin  
residing at 31 Woodfield, Galway Road, Tuam, Co. Galway, IRELAND  
have invented and own the entire United States right, title and interest in an invention for:

COMBINED BALLOON-EXPANDING AND SELF-EXPANDING STENT

disclosed in my (our) application for United States Letter Patent filed:

☒ concurrently herewith  
☐ on \_\_\_\_\_ and assigned Serial No. \_\_\_\_\_; and

I (we) hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A.,  
Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9185, to insert the filing date and  
application number of said application above when known.

WHEREAS, SCIMED LIFE SYSTEMS, INC. ("Assignee"), a corporation  
organized and existing under and by virtue of the laws of the State of Minnesota, and having its  
principal place of business at One SciMed Place, Maple Grove, MN 55311, is desirous of acquiring  
the entire right, title, and interest in and to said invention, to said application for any and all countries,  
to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which  
may be granted thereon;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the  
receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee,  
its successors, and assigns, the entire right, title and interest, legal and equitable, in and to said  
invention, to said application for any and all countries, to any and all Letters Patent, and to any and all  
Design Letters Patent of any and all countries which may be granted thereon; and the Commissioner of  
Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design  
Letters Patent which may be granted to said invention to Assignee.

Dated: 15 Nov. 2001

First Inventor's Signature:  
First Inventor's Name:

  
Michael John Stephen Austin

Dated: \_\_\_\_\_

Second Inventor's Signature:  
Second Inventor's Name:

\_\_\_\_\_

Dated: \_\_\_\_\_

Third Inventor's Signature:  
Third Inventor's Name:

\_\_\_\_\_

Dated: \_\_\_\_\_

Fourth Inventor's Signature:  
Fourth Inventor's Name:

\_\_\_\_\_

Form PTO-1595  
1-31-04U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office**COPY****RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Barry O'Brien  
102 Liosmore, Barna, Co. Galway, IRELANDAdditional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ No3. Nature of Conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other  
Execution Date: 9/1/042. Name and address of receiving party(ies):  
Name: SCIMED LIFE SYSTEMS, INC.

Internal Address:

Street Address: One SciMed Place

City: Maple Grove State: MN ZIP: 55311

Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or patent number(s):  
If this document is being filed with a new application, the execution date of the application is:A. Patent Application No.(s)  
09/683,249

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom  
correspondence concerning document should be  
mailed:Brendan C. Babcock  
VIDAS, ARRETT & STEINKRAUS, P.A.  
Suite 2000  
6109 Blue Circle Drive  
Minnetonka, MN 55343-9131

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account Number: 22-0350

(Attach duplicate of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of  
the original document.Brendan C. Babcock  
Reg. No. 50,705

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

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**COPY****COPY**

**COPY**UTILITY/DESIGN PATENT  
Docket No. S63.2B-10014-US01

## ASSIGNMENT

WHEREAS, I(we)

Inventor Name(s)	Inventor(s) Residence Address
Barry O'Brien	102 Liosmore, Cappagh Road, Barna, Co. Galway, Ireland

has co-invented an invention for:

Combined Balloon-Expanding and Self-Expanding Stent

disclosed in the application for United States Letter Patent filed:

- ☐ concurrently herewith  
☒ on December 5, 2001 and assigned Serial No. 09/683,249; and

I (we) hereby authorize and request any attorney of Vidas, Arrett & Steinkraus, P.A., Suite 2000, 6109 Blue Circle Drive, Minnetonka, MN 55343-9185, to insert the filing date and application number of said application above when known.

WHEREAS, Scimed Life Systems, Inc. ("Assignee"), a corporation having its principal place of business at:

One Scimed Place  
Maple Grove, MN 55311-1566

is desirous of acquiring

- ☒ my entire right, title, and interest in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;

- ☐ entire right, title and interest in the US application described above;

NOW, THEREFORE, Be It Known that for good and valuable consideration, the receipt of all of which is hereby acknowledged, I(we) hereby sell, assign, and transfer unto Assignee, its successors, and assigns,

- ☒ my entire right, title and interest, legal and equitable, in and to said invention, to said application for any and all countries, to any and all Letters Patent, and to any and all Design Letters Patent of any and all countries which may be granted thereon;
- ☐ the entire right, title and interest, legal and equitable, in and to said application and all United States Letters Patent and Design Letters Patent which may be granted thereon;

and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent and all Design Letters Patent which may be granted to said invention to Assignee.

Date	Inventor Name(s)	Signature
1 <sup>st</sup> SEPT 2004	Barry O'Brien	<i>Barry O'Brien</i>